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568256

**THE WHITE HOUSE
CORRESPONDENCE TRACKING WORKSHEET**

ID# 568256

PAGE 1

DATE RECEIVED: 09/29/2003

03 OCT 1 PM '03

NAME OF CORRESPONDENT: MR. WILLIAM H. DONALDSON

FG249

SUBJECT: TRANSMITS THE 02 ANNUAL REPORT OF THE SECURITIES INVESTOR PROTECTION CORPORATION ("SIPC")

		ACTION		DISPOSITION		
ROUTE TO: OFFICE/AGENCY	(STAFF NAME)	ACTION CODE	DATE YY/MM/DD	TYPE RESP	C D	COMPLETED YY/MM/DD
EXECUTIVE CLERK	TIM SAUNDERS	ORG	2003/09/29			C 2003/9/30
ACTION COMMENTS: <i>Not for President's approval to the Congress</i>						
<i>Staff Secretary Brett Kavanaugh</i>						
		A	2003/10/1			C 03/10/02
ACTION COMMENTS:						
	NEFRIEDMAN	A	03/10/02			C 03/10/09
ACTION COMMENTS:						
ACTION COMMENTS:						

COMMENTS:

ADDITIONAL CORRESPONDENTS 0

MEDIA: LETTER

INDIVIDUAL CODES:

REPORT CODE:

USER CODE:

ACTION CODES:

- A - APPROPRIATE ACTION
- C - COMMENT/RECOMMENDATION
- D - DRAFT RESPONSE
- F - FURNISH FACT SHEET
- I - INFO COPY/NO ACT NECESSARY
- R - DIRECT REPLY W/ COPY
- S - FOR SIGNATURE
- X - INTERIM REPLY

DISPOSITION CODES:

- A - ANSWERED
- B - NON-SPEC-REFERRAL
- C - COMPLETED
- S - SUSPENDED

OUTGOING CORRESPONDENCE:

- TYPE RESP = INITIALS OF SIGNER
- CODE = A
- COMPLETED = DATE OF OUTGOING

**SCANNED
BY
ORM**

REFER QUESTIONS AND ROUTING UPDATES TO RECORDS MANAGEMENT (ROOM 72, OEOB) EXT-62590 KEEP THIS WORKSHEET ATTACHED TO THE ORIGINAL INCOMING LETTER AT ALL TIMES AND SEND COMPLETED RECORD TO RECORDS MANAGEMENT.

WHITE HOUSE STAFFING MEMORANDUM

Date: 10-02-03 ACTION / CONCURRENCE / COMMENT DUE BY: 10-09-03

Subject: SECURITIES INVESTOR PROTECTION CORPORATION ANNUAL REPORT 2002

	ACTION	FYI		ACTION	FYI
VICE PRESIDENT	<input type="checkbox"/>	<input type="checkbox"/>	KAVANAUGH	<input type="checkbox"/>	<input type="checkbox"/>
CARD	<input type="checkbox"/>	<input type="checkbox"/>	MANKIW	<input type="checkbox"/>	<input type="checkbox"/>
BARTLETT	<input type="checkbox"/>	<input type="checkbox"/>	MARBURGER	<input type="checkbox"/>	<input type="checkbox"/>
BLAKEMAN	<input type="checkbox"/>	<input type="checkbox"/>	MCCLELLAN	<input type="checkbox"/>	<input type="checkbox"/>
BOLTEN	<input type="checkbox"/>	<input type="checkbox"/>	MIERS	<input type="checkbox"/>	<input type="checkbox"/>
BRIDGELAND	<input type="checkbox"/>	<input type="checkbox"/>	MONTGOMERY	<input type="checkbox"/>	<input type="checkbox"/>
CONNAUGHTON	<input type="checkbox"/>	<input type="checkbox"/>	POWELL	<input type="checkbox"/>	<input type="checkbox"/>
FRIEDMAN	<input checked="" type="checkbox"/>	<input type="checkbox"/>	RICE	<input type="checkbox"/>	<input type="checkbox"/>
GAMBATESA	<input type="checkbox"/>	<input type="checkbox"/>	ROVE	<input type="checkbox"/>	<input type="checkbox"/>
GERSON	<input type="checkbox"/>	<input type="checkbox"/>	SPELLINGS	<input type="checkbox"/>	<input type="checkbox"/>
GONZALES	<input type="checkbox"/>	<input type="checkbox"/>	CLERK	<input type="checkbox"/>	<input type="checkbox"/>
GORDON	<input type="checkbox"/>	<input type="checkbox"/>	_____	<input type="checkbox"/>	<input type="checkbox"/>
HAGIN	<input type="checkbox"/>	<input type="checkbox"/>	_____	<input type="checkbox"/>	<input type="checkbox"/>
HOBBS	<input type="checkbox"/>	<input type="checkbox"/>	_____	<input type="checkbox"/>	<input type="checkbox"/>

REMARKS:

THE ATTACHED REPORT IS FOR YOUR INFORMATION/REVIEW. PLEASE RETURN THE ORIGINAL REPORT TO THE OFFICE OF THE STAFF SECRETARY. IF YOU WOULD LIKE A COPY OF THIS REPORT, PLEASE CONTACT THE OFFICE OF THE STAFF SECRETARY. THANK YOU.

RESPONSE:

Brett Kavanaugh
 Assistant to the President
 and Staff Secretary
 Ext. 62702
 FAX Ext. 62215

568256

OFFICE OF THE EXECUTIVE CLERK

CORRESPONDENT: William H. Donaldson
Chairman

AGENCY: Securities and Exchange
Commission

DATE OF INCOMING: 9/11/03

ROUTE TO: Tim Saunders

23 2003

493240



THE CHAIRMAN

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

September 11, 2003

The President
The White House
Washington, D.C. 20500

Re: Securities Investor Protection Corporation

Dear Mr. President:

As required by 15 U.S.C. § 78ggg, I am transmitting to you the 2002 Annual Report of the Securities Investor Protection Corporation ("SIPC").

SIPC protects securities customers of a SIPC member broker-dealer in the event of the firm's financial failure. In 2002, SIPC initiated five customer protection proceedings. These five proceedings, taken together, present only modest exposure to the SIPC fund, which contained approximately \$1.26 billion on December 31, 2002.

The Commission will continue to oversee SIPC to assure that the SIPC program provides adequate protection for the nation's investors.

Sincerely,

A handwritten signature in black ink, appearing to read "William H. Donaldson".

William H. Donaldson
Chairman

Enclosure

Securities Investor Protection Corporation

ANNUAL REPORT

2002



SIPC